

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Dow Global Technologies Inc.Application No./Patent No.: 09/928,764 Filed/Issue Date: August 13, 2001Entitled: POLYURETHANE FILMS AND DISPERSIONS FOR THE PREPARATION THEREOF

Dow Global Technologies Inc., a Corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

John B. Treangen
 Signature

November 17, 2008
 Date

JOHN B. TREANGEN
 Printed or Typed Name

(989) 636-1505
 Telephone Number

Authorized Representative
 Title

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: November 14, 2008

Electronic Signature for Duane Ulmer: /Duane Ulmer/

This Assignment 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number.

This Assignment 440 is in the ENGLISH language and has the same meaning in other languages with the same 440 number.

FORM 440

ASSIGNMENT

(with Priority Rights)
and
INVENTORSHIP DECLARATION

We, ASSIGNOR, of: **THE DOW CHEMICAL COMPANY**
2030 Dow Center
Midland, Michigan 48674
UNITED STATES OF AMERICA

a corporation organized and existing under the laws of the State of Delaware, United States of America do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):

Debkumar Bhattacharjee, 104 Spanish Oak Circle, Lake Jackson, Texas 77566, UNITED STATES OF AMERICA, Citizen of United States of America; Franklin E. Parks, 7118 Stephen F. Austin Road, Freeport, Texas 77541-9404, UNITED STATES OF AMERICA, Citizen of United States of America; and Thelma Sanchez, 64 Garland Court, Lake Jackson, Texas 77566, UNITED STATES OF AMERICA, Citizen of United States of America

by an Assignment dated: April 14, 1999 and April 15, 1999 of an invention relating to and entitled:

POLYURETHANE FILMS AND DISPERSIONS FOR THE PREPARATION THEREOF

We do hereby declare, for good and valuable consideration, received, sell, assign, and transfer unto:

WE, the ASSIGNEE: **DOW GLOBAL TECHNOLOGIES INC.**
2040 Dow Center
Midland, Michigan 48674
UNITED STATES OF AMERICA

a corporation organized and existing under the laws of the State of Delaware, United States of America our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all International Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
60/129,271	April 14, 1999	United States of America

of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insert here the corresponding Application Number, Filing Date, and Filing Country

Application Number	Filing Date	Filing Country

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Sworn by us at Midland, Michigan 48674, USA

this 14 day of November, 20 08

THE DOW CHEMICAL COMPANY

By: Michael L. Glenn
MICHAEL L. GLENN
 Authorized Representative

CORP.
SEAL

Attach Notarial Certificate (Corporation)

[illegible]

This Notarial Certificate 550 is in the **ENGLISH** language and has the same meaning in other languages with the same 550 number

FORM 550

NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA)
STATE OF MICHIGAN) SS
County/Parish of Midland)

On this 14 day of November, 2008, personally appeared before me, **MICHAEL L. GLENN, Authorized Representative**, known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said Corporation incorporated under the laws of the **State of Delaware, United States of America**.

I further certify that said authority, dated **February 9, 2006**, is based on
an authority of a Resolution by the Board of Directors

which I have seen, appointing the said individual Authorized Representative with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

SEAL *Kathleen A. West*

Kathy Sue Wertz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2012

[illegible]

Kathy Sue Wertz
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES APRIL 07, 2012